



**Wallace Hall Nursery, Primary and
Secondary School
SQA Policy**

September 2023

Wallace Hall Academy – SQA Policy (March 2023)

Introduction

At Wallace Hall Academy all learners will be given the opportunity to sit SQA qualifications during their Senior Phase from S4–S6. The overall responsibility for all SQA matters lies with the Head Teacher who, for SQA purposes, is the designated Head of Centre. Under their instruction the SQA Coordinator, the School Support Manager, the Senior Managers, the Principal Teacher of Additional Support for Learning and the Principal Teachers of Curriculum will support staff to meet SQA requirements.

The policy is split into 4 sections, all of which have relevant subsections.

- 1. Presentation**
- 2. Timelines**
- 3. Assessment**
- 4. Appeals**

1. Presentation

Appropriate progression into the Senior Phase, and the importance of well-informed, accurate and aspirational presentation decisions is vital to ensure all learners at Wallace Hall Academy achieve success at the highest appropriate level possible for each learner. The final decisions on presentation lie with the Head Teacher but these decisions must be based on robust, informed, evidence-based tracking and monitoring.

We need to make important judgements about the appropriate pathways for each learner as they enter the Senior Phase. This should be done during S3 and should be informed by effective tracking and monitoring processes within the Broad General Education.

It is also essential that effective tracking and monitoring procedures are carried out in the Senior Phase. Class teachers and Principal Teachers of Curriculum will regularly track the progress of individual learners. The progress of individual learners will be reported to parents in November and February. Additional contact may be made with parents where there are concerns about the current presentation levels of learners. Where a change to a presentation level is suggested both learners and parents will be kept fully informed. The flowchart detailing this process is shown in Appendix 1 and the Level Change Request Form to be used by staff is shown in Appendix 2.

The SQA continually adapt the assessment procedures around most courses from National 4 to Advanced Higher Level. All decisions on presentation will be made in the best interests of the learner and in line with any updates from the SQA which may supersede aspects of this policy. Any changes to the assessment procedures around courses can be found at the following link on the SQA website.

<https://www.sqa.org.uk/sqa/101508.html>

Dual presentation

In a small number of instances, it may also be suitable to dual present a pupil. For example, this could mean that a pupil is entered for both National 4 and National 5 Levels in an individual subject. This may happen in instances where a pupil is keen to have a go at the upper level but that it might also be in the best interests of the pupil to have a backup level to fall back on.

It is the responsibility of the school and leaders within the school to:

- ensure appropriate tracking and monitoring procedures are in place in the Broad General Education and Senior Phase
- provide opportunities to meet with parents and learners with regard to progress, pathways and subject choice as learners enter the Senior Phase
- ensure a range of pathways are available for the vast majority of pupils aspiring towards National 4, National 5, Higher or Advanced Higher courses in the Senior Phase
- ensure relevant opportunities are available for pupils who wish to achieve other awards such as Skills for Work Courses or National Progression Awards in the Senior Phase
- provide regular updates and guidance to staff with regard to assessment changes implemented by the SQA
- discuss the presentation level for individual pupils where a level change request has been made by a member of staff. The final decision will be made by the Head Teacher

It is the responsibility of teaching staff to:

- engage with and inform tracking and monitoring procedures in the Broad General Education and Senior Phase
- plan effectively to ensure pathways are available for the vast majority of pupils who are aspiring towards National 4, National 5, Higher or Advanced Higher courses in the Senior Phase
- plan effectively to ensure relevant opportunities are available for pupils who wish to achieve other awards such as Skills for Work Courses or National Progression Awards in the Senior Phase
- discuss assessment changes implemented by the SQA within subject teams to ensure the requirements of courses are met
- provide an overview of the course content and assessment requirements for each learner at the beginning of the course
- submit the required paperwork in good time where a change in level is requested for an individual learner so the Head Teacher can make a timely decision on presentation

2. Timelines

The SQA employ a detailed timeline with regard to externally marked assessments, internally assessed mark forms, visiting verifications, internal assessments, estimate grades and external exams.

It is the responsibility of the school and leaders within the school to:

- adapt the SQA calendar to meet the needs of learners and staff within the school to ensure SQA deadlines are met in a timely manner
- share the SQA calendar with staff in a timely manner so that they may plan appropriate learning programmes

It is the responsibility of teaching staff to:

- work alongside Principal Teachers to ensure learners meet deadlines
- engage in appropriate moderation activities with regard to internally assessed work

3. Assessment

An important part of the Senior Phase will be exposing learners to appropriate and timely assessment. In January of each year, the vast majority of learners will be given the opportunity to sit a prelim examination in all courses they are sitting from National 5 to Advanced Higher Level. The majority of courses also contain an internally or externally assessed component which could include a folio, an assignment or a practical performance, amongst other things.

In session 2022-23 some course requirements have been adapted or removed by the SQA for some courses. Pupils should speak to their class teachers for more information about this or the information can be found at the link shown below.

<https://www.sqa.org.uk/sqa/101508.html>

Assessment - Exams

In April, May and June pupils will also sit final examinations in most subjects from National 5 to Advanced Higher Level. Learners will be well informed of the requirements on their individual exams by their classroom teachers and will have a presentation from the SQA co-ordinator with regard to the procedures and conduct around SQA exams. A timetable of when their individual exams are will also be shared in good time with all learners.

Where a pupil is not able to sit their final exam the school may be able to apply for exceptional circumstances. This rarely happens but could include things such as a medical emergency or a recent bereavement of a close family member. Should this happen the learner or their parent should contact the school as soon as possible. A representative from the school will then liaise with the learner or parent and may submit an exceptional circumstances request on their behalf. This usually involves sending a range of evidence gathered throughout the year to the SQA where they will review the evidence and determine an appropriate SQA qualification based on the evidence. More detail about the exceptional circumstances service can be found using the link below.

<https://www.sqa.org.uk/sqa/103990.html>

Assessment - coursework

When completing coursework pupils will do so under a variety of assessment conditions as stipulated by the SQA. Individual subject teachers will inform learners of the assessment conditions around each coursework task. These conditions will vary in terms of the amount of support that teachers are able to offer and the supervision under which the coursework should be completed. More detail on the variety of assessment conditions which could be used are given in the SQA guidance at the link shown below.

https://www.sqa.org.uk/files_ccc/Guidance_on_conditions_of_assessment_for_coursework.pdf

Internally Assessed Course Components (IACCs) are assessed and moderated by staff in school with the marks being sent to the SQA. Sometimes this also involves visiting assessors from the SQA. These IACCs will be shared with pupils. Pupils have the right to appeal these IACCs and should do so with their class teacher or the Principal Teacher of the subject. The Principal Teacher will then ensure that assessment and moderation procedures have been followed and will report the result back to the pupil. If appropriate to do so, then the SQA Co-ordinator will be involved and the final decision with regard to appeals around IACCs rests with the Head of Centre. Externally assessed components are completed in school but then sent to the SQA for marking.

In order to support learners with navigating the requirements of their coursework, the SQA share a "Your Coursework" guide with learners each year. This document is shared with pupils each year in November or December and can be found at the link below.

<https://www.sqa.org.uk/sqa/71527.html>

Assessment - Assessment Arrangements (AA)

There is a separate policy governing the use of AA at Wallace Hall Academy in more detail but the information provides an overview.

Some pupils may have a physical health, mental health, behavioural or learning need which could impact on their ability to reach their potential in SQA qualifications. To avoid this the SQA and the school employ a system of Assessment Arrangements (AA) where learners can be supported to complete SQA qualifications without the additional barriers some may face. However, it is important to note that the system must be fair to all candidates. This means that pupils cannot be granted AA unless we have evidence of a physical health, mental health, behavioural or learning need. It is the case that where pupils are granted AA it should also be fair to them. For example, if an AA involved giving a learner additional time, would it be fair to make them complete an assessment over 4 or 5 hours?

The SQA provide clear guidelines to all schools in relation to the process of applying for AA and quality assuring the AA process and this can be found at the link show below.

https://www.sqa.org.uk/sqa/files_ccc/nq-2023-asesment-arrangements-centre-guidance.pdf

Assessment – English as an Additional Language (EAL)

Where a pupil has EAL they may be eligible for an additional 10 mins per hour and the use of a dictionary during assessments. However, this would only be granted if this was the pupil's normal way of working and there was a need for it. A link to the full SQA policy is shown below.

https://www.sqa.org.uk/files_ccc/bilingual-dictionary-eal.pdf

Assessment – Malpractice

While it is hoped and expected that learners would adhere to SQA and school policies at all times there may be a small number of incidents involving malpractice. All Senior Phase pupils, and their parents/carers will be given a letter, shown in Appendix 3, to indicate that they have read and understood the implications of engaging in malpractice. While incidents of malpractice are rare, as an SQA accredited centre we are duty bound to investigate all alleged incidents of malpractice fully. Where an incident is alleged, it can be a difficult and stressful situation for anyone involved so we will always conduct investigations to ensure they are done consistently, fairly and impartially. The SQA guide on malpractice can be found at the link below.

https://www.sqa.org.uk/sqa/files_ccc/2020-malpractice-information-centres.pdf

Assessment - Moderation

An important aspect of both the SQA and the school's process of awarding qualification is moderation. Moderation ensures that assessment decisions are made fairly, consistently and without bias. There are three stages to the moderation process (plan, do and review). In the "plan" stage teachers will plan what assessment they are going to carry out and ensure they have all of the SQA requirements for that assessment to hand. In the "do" stage the assessment will be conducted, and learner responses will be marked and a sample will be moderated. This moderation could be done in a variety of ways but will usually involve more than one teacher assessing a candidate's work. In the third and final "review" stage teachers will reflect on the "plan" and "do" stage and make any necessary adjustments. More detail about the moderation process can be found in Appendix 4 where the guide and toolkit that Wallace Hall Academy and Sanquhar Academy use jointly can be found.

Assessment - Estimate Grades

We have to submit Estimate Grades to the SQA for all pupils sitting National 5, Higher and Advanced Higher qualifications. These Estimate Grades will be based on demonstrated attainment. This evidence will be retained by the school which could be used in exceptional circumstances. The Estimate Grades submitted to the SQA will be shared with pupils and parents after the Easter holidays. Details on how this evidence will be gathered and Estimate Grades will be generated can be found at the following link.

https://www.sqa.org.uk/sqa/files_ccc/nq-2023-estimates-guidance.pdf

It is the responsibility of the school and leaders within the school to:

- provide a clear policy for the internal moderation of assessments
- coordinate the completion of IACCs to ensure, wherever possible, an even spread of assessments across the Senior Phase
- support and lead any appeals process around IACCs
- support teachers to make sure they have access to the conditions of assessment around coursework tasks for learners
- speak to all learners about malpractice and the possible ramifications of engaging in malpractice
- investigate any incidents of alleged malpractice by learners and report to parents and learners the outcomes of any investigation
- provide guidance for staff with regard to procedures around Assessment Arrangements
- coordinate a prelim timetable taking into account the needs of learners, staff workload and SQA deadlines
- provide instructions, a clear timetable and support in relation to the final exams in April, May and June

It is the responsibility of teaching staff to:

- engage with and follow the school policy for the internal moderation of assessments
- provide subject specific details about internally and externally assessed components to ensure, wherever possible, an even spread of assessments across the senior phase
- share IACC grades with pupils allowing time for discussion around how the decisions were made
- explain the conditions of assessment around coursework tasks to learners
- report any incidents of alleged malpractice by pupils to their Principal Teacher of Curriculum who will in turn report the incident to the SQA co-ordinator and Head of Centre
- provide subject specific details to allow a prelim timetable to be created which takes into account the needs of learners, staff workload and SQA deadlines
- work alongside the Principal Teacher of ASfL to ensure the needs of all learners are met with regard to Assessment Arrangements

4. Appeals

In session 2022/23 the SQA have adapted the appeals system for learners. Learners will have the opportunity to appeal the grade awarded to them by the SQA if they have completed all parts of the course (including the exam). However, unlike previous years the school will not be asked to send examples of pupil evidence which were used to determine their Estimate Grade. The system to be used in 2022-23 will be one similar to what was in place before the COVID pandemic. This means that if an appeal is raised with the SQA then the SQA will conduct a marking review.

A marking review checks that:

- all parts of the SQA-assessments have been marked;
- the marking is in line with national standards;
- the marks given for each answer have been totalled correctly; and
- the correct result has been entered onto the SQA system.

Once the marking review has taken place there are 3 possible outcomes. The learner's grade could be **moved up**, it could **stay the same** or it could be **moved down**.

There will be no financial cost to appeal in 2022-23.

Learners will have the choice of whether to apply for the appeal themselves or to liaise with the school who can submit it on their behalf. We would encourage all pupils who would like to submit an appeal to speak with staff from the school first who will explain the process to them. Dr Fulton will put plans in place for this when we return in August so there is no need to worry about it between results day and our return to school.

For those pupils who require a **priority appeal** to secure a place at college or university please do get in touch with the school after results day and we will work with you to ensure everything is put in place as required.

The most up to date information currently available from the SQA about the appeals process can be found at the link below.

<https://www.sqa.org.uk/sqa/103990.html>

It is hoped that this SQA policy will clarify the arrangements in place at Wallace Hall Academy for all staff, learners, parents and carers. While every effort has been made to keep all of the content as up to date as possible the SQA make regular updates to their policies and procedures and any of these changes will supersede any aspect of this policy.

Appendix 1: SQA policy – Level Change Flowchart

When considering a change in presentation for a candidate please follow this flowchart.

1. A discussion about the possibility of a change in presentation should take place between the PTC and the class teacher. The class teacher should be able to provide clear evidence for the suggested change.



2. A discussion about the possibility of a change in presentation should take place between the PTC and the pupil. This is just a discussion at this stage to go over the options.



3. A discussion about the possibility of a change in presentation should take place between the PTC and the link DHT to ascertain if it seems like a reasonable change. This may also highlight concerns where a pupil is failing a number of subjects.



4. A discussion about the possibility of a change in presentation should take place between the PTC and the parent. This is just a discussion at this stage to go over the options but if a parent is in agreement with your recommendation indicate that you will put it forward to SMT for approval but no guarantees can be made at this stage.



5. Submit a Level Change Request Form to the link DHT for approval at SMT level.



6. Once confirmed by SMT an email will be sent by the SSM and the PTC should confirm the change with the class teacher and the pupil and send a letter of confirmation to the parent and enter the details as a pastoral note in Seemis. The new pastoral notes where a number of pupils can be entered at once should make this process quite quick if a number of pupils are making the same change.

Appendix 2: SQA policy – Level Change Request Form

**SQA Request for Changes of Level/Withdrawals/Units only
To be Completed by Principal Teacher Curriculum**

Name of pupil:	Seemis class (directly under the teacher's name on the Seemis register):
Year group and house group:	Subject:
Class teacher(s):	Current level:

What change are you proposing? (please place an 'x' in only one box)

Change of level Complete course over 2 years Complete course over 2 years and do Units this year

Withdrawal from entire course Withdrawal from external exam and moved to units only

Dual presentation (two levels) Dual presentation (units and whole course)

Please give details of this proposed change as specified below.

- For change of level state the current and proposed level.
- For dual presentation clearly state both levels or the level and the unit **names, codes and levels**.
- For withdrawal from external exam and moved to unit only include the unit **names, codes and levels**.

Please tick to confirm each of the following have been completed in advance of requesting the proposed change.

Provisional discussion with class teacher about proposed change

Provisional discussion with pupil about proposed change

Provisional discussion with parent about proposed change

Please use this section to give reasons for the proposed change. This section will likely include information on assessment marks, prelim marks and information on interventions and contact with parents. This section will also likely include details of what the pupil will be doing with their class time as **all pupils will remain in the class** even if the proposed change is accepted.

Date and signature of PTC	Date and signature of DHT
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Discussion at SMT

Agreed Agreed but with amendments Further action required Rejected

Please include details of required amendments, further action required or reasons for rejection in this section.

Date and signature of HT

On spreadsheet Emailed to PTC by SSM Updated on Seemis by SSM

Appendix 3: SQA policy – Malpractice letter



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Website: www.wallacehall.co.uk Facebook: Wallace Hall Academy, Primary and ELC
Twitter: <https://twitter.com/WallaceHallSch> Instagram (WHA only): [wallacehallsch](https://www.instagram.com/wallacehallsch)



Wallace Hall Academy, Primary and ELC
"Together we grow: Learn and achieve!"

Head Teacher: Barry Graham

20 November 2023

Dear Parent/Carer

Plagiarism, collusion or other forms of malpractice during completion of SQA accredited qualifications

Your child is currently in the Senior Phase at Wallace Hall Academy, during which time they will complete a variety of SQA accredited qualifications. These qualifications will involve a range of assessments which could include (but are not limited to): folios, assignments, prelims, practical performances, class tests, final exams. The SQA expect high standards of conduct from all candidates when completing assessments as part of their SQA accredited qualifications.

Your child has been issued with a 'Your National Qualifications' booklet explaining in more detail what is meant by plagiarism, collusion or other forms of malpractice. The 'Your National Qualifications' booklet also gives helpful hints on how to avoid malpractice. If at any point your child is worried and unsure about any aspect of a task they are completing, or the expectations around it, they should approach a member of staff in school as the requirements can vary from subject to subject.

Any instance of suspected malpractice will be fully investigated by senior managers from the school and should your child be found to be engaging in malpractice we have a number of sanctions available to us including (but not limited to): removal from school.

Email - Dr Fulton - Outlook - Google Chrome

You may also be aware of the rise of 'Artificial Intelligence' or 'AI' and the use of AI by pupils completing SQA coursework is strictly prohibited. Pupils should not even be using AI as a reference source. The SQA have software they can use to detect where AI has been used and will impose robust sanctions where this is the case.

We would welcome your support in discussing the 'Your National Qualifications' booklet with your child to ensure they understand the need for honesty and integrity around SQA accredited qualifications.

Yours sincerely

Dr Ray Fulton
SQA Co-ordinator



Sanquhar Academy & Wallace Hall Academy

Verification guidelines and toolkit

Verification – Guidelines

Verification supports assessors to make assessment decisions. There are two types of verification:

1. **Informal (Internal)** – the judgements which we make that ensures that all candidates entered for the same qualification are assessed fairly and consistently to the specified national standard.
2. **Formal (External)** – the process SQA uses to assess the quality of internal assessment, including internal verification. The two main methods are *Event* and *Visiting*.

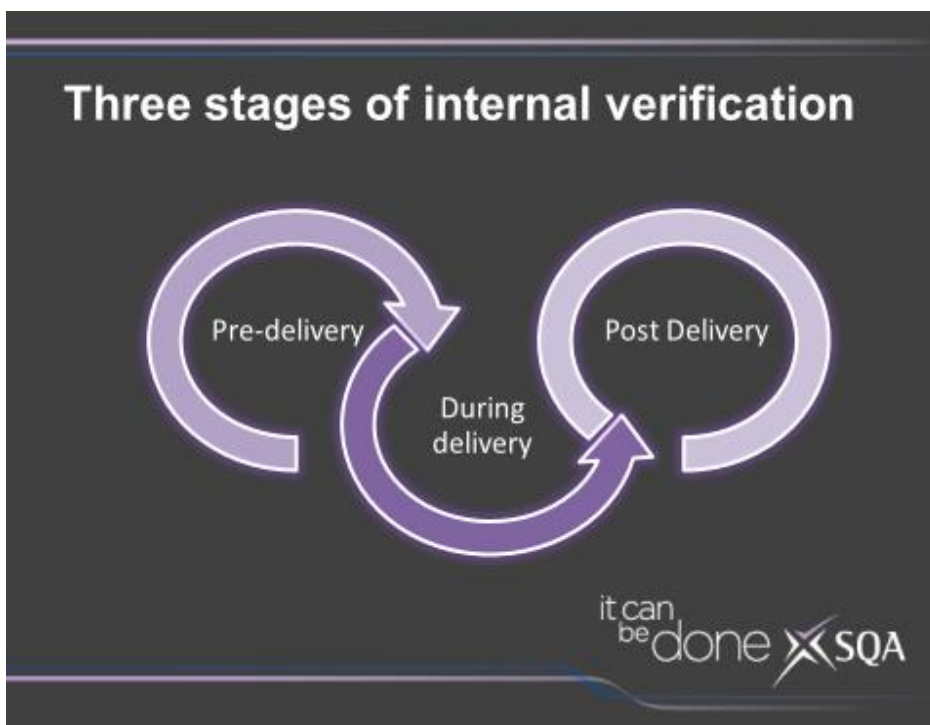
There are **3 models of internal verification**:

1. **Lead Internal Verifier**: An internal verifier who will sample assessments marked by other assessors
2. **Peer Review**: All staff in a department will take part in understanding standards activities and carry out cross marking of each other's assessments *
3. **Single Assessor Departments**: A networking approach allowing professional dialogue and cross marking between assessors in different centres*

*Most likely to be used, partnership arrangements allow for greater opportunities for both centres to collaborate and share opportunities and experience.

An internal verifier cannot verify assessments which they have developed or verify assessments which their own assessment judgements.

There are **3 stages of internal verification**:



Pre-delivery (Plan)

- Prior verification service if required (this is if you want to use an assessment in place of an assessment provided by the SQA)
- Planning internal assessment activities which meet the requirements
- Plan internal verification activities to ensure that assessors are familiar with the qualification standard
- Ensure a shared understanding of standards
 - *The internal verifier needs to have sufficient subject knowledge to understand the content of assessments and national standards for the award and be able to confirm reliable assessment judgements have been made.
- Agree assessment approaches
- Prepare candidates
 - *Ensure that expectations are clear. Remember to apply AAs if required.

A checklist from the SQA is shown below which may be of use when planning assessments.

[Internal verification: A guide for centres p8](#)

Is the assessment appropriate for its purpose?*	<input type="checkbox"/>
Does it allow candidates to produce sufficient evidence that they have the knowledge, understanding and skills to meet the national standards?	<input type="checkbox"/>
Is there an appropriate assessment scheme/marketing instructions/recording mechanism to check that the candidate's evidence meets the requirements of the national standard?	<input type="checkbox"/>
Can the assessment be carried out effectively and efficiently within the resources of your centre or assessment site?	<input type="checkbox"/>
Is the assessment accessible to all candidates?	<input type="checkbox"/>
Does the assessment meet any specific requirements of the unit/award? For example, does the unit/assessment strategy stipulate a time frame or permitted resources?	<input type="checkbox"/>

During delivery (Do)

- Standardisation activities
 - *Ensure that assessors have a common understanding of the standards – e.g. Double Marking, Blind Marking, Dual Assessment
- Sampling of candidates' assessed work

*It is important to prioritise sampling from:

- new assessors
- when units or assessments have changed
- when issues have been identified in internal or external verification
- different approaches to assessment have been used
- different locations/resources have been used

*Sampling strategy – e.g. cross marking, a strategy which will allow the internal verifier to check that each assessor is **making consistent assessment judgements in line with national standards, with each group of candidates over time.**

The number of candidates included in the sample for internal verification should be proportionate to the total number of candidates. Remember to sample following any resits.

- Feedback and dialogue with assessors who marked assessments
 - Supporting assessors
 - *Open collaborative approach throughout the year
 - Confirm results – agreeing final marks
 - *Provide a second opinion in internal assessment appeals / malpractice investigations
- Candidates can appeal assessment judgements

It is important that centres maintain accurate records. Candidates must be informed of the result of internal assessment.

If candidates have not achieved their desired result – available options should be discussed. Centres should retain candidate evidence for a specific length of time.

Post-delivery (Review)

- Reflect on how things have gone, how to improve delivery of the course
- Agree and plan for any necessary changes in assessment approach
- Agree and plan for any further understanding standards activities
- Agree and plan for any changes to internal verification processes

Verification - Toolkit

Stage 1: Plan

Course Title			
Course Level	National 3 National 4 National 5 Higher Advanced Higher Other (e.g. NPA, SfW): (Please Circle / Bold / Highlight as appropriate)		
Element	Unit 1 SQA UASP Unit 2 SQA UASP Unit 3 SQA UASP Added Value Unit Prelim Assignment End of Unit Assessment (own creation) Practical Performance Other: (Please Circle / Bold / Highlight as appropriate)		
Name of Assessor(s)			
Name of Internal Verifier(s)			
Date of meeting(s)			
Reason(s) for internal verification	Routine New or inexperienced assessor New assessment Feedback from previous verification Other (please specify): (Please Circle / Bold / Highlight as appropriate)		
Assessment arrangements		Discussed (Yes, No, N/A)	Comments and action required
	Content of assessment		
	Assessment criteria		
	Date(s) of assessment		
	Method for recording candidate evidence		
	Conditions for re-assessment		
	Assessment Arrangements		
Internal Verification	Number of candidates to sample		
	Date scheduled for internal verification meeting		

Stage 3: Review

Task to be completed due to internal verification activity (Tasks may include adapting marking schemes, attending understanding standards events or running a sharing good practice event)	Person responsible	Date to be completed
Review of assessment and internal verification conducted by (not all 4 need to be signed): Assessor: _____ Verifier: _____ Date: _____ Date: _____ Principal Teacher: _____ SQA Co-ordinator: _____ Date: _____ Date: _____		